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Hong Kong Exchanges and Clearing Limited is a recognized exchange controller under the Securities and Futures Ordinance which is the controller of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited, Hong Kong Securities Clearing Company Limited, The SEHK Options Clearing House Limited, HKFE Clearing Corporation Limited and OTC Clearing Hong Kong Limited.

通告 CIRCULAR

Subject: HKATS Software Upgrade

Enquiry: HKATS Hotline at 2211-6360¹ / Email: HKATSSupport@hkex.com.hk

Reference is made to the circular dated 7 August 2020 (Ref: [MOD DT 167 20](#)). Following to the successful completion of the Readiness Test (“RT”), Hong Kong Exchanges and Clearing Limited (“HKEX”) has scheduled to upgrade the HKATS Software on Saturday, 5 September 2020. The HKATS Software Upgrade (“Upgrade”) will support the rollout of the New Mass Quote Transaction Type MO93 to be used by Market Makers and Liquidity Providers in HKATS from 21 September 2020. The Upgrade will be conducted by HKEX and an optional post release connectivity session will be provided to Exchange Participants (“EPs”) on Sunday, 6 September 2020.

EPs are requested to note the following matters in relation to the Upgrade:

1. EPs are reminded to delete all Java caches of HKATS Risk Functions GUI between market close on 4 September 2020 (including AHT close in the morning on 5 September 2020, if applicable) and the first login on 7 September 2020.
2. Market Makers and Liquidity Providers who plan to use the new Mass Quote Transaction Type (MO93) are required to submit their application to HKATSSupport@hkex.com.hk before rolling out their new OAPI program in production.

¹ All calls to the HKATS hotline would be recorded. Please refer to the following link for HKEX privacy policy statement: http://www.hkex.com.hk/eng/global/privacy_policy.htm

3. EPs who want to participate the **optional** post release connectivity session from 9:00 a.m. to 11:00 a.m. on Sunday, 6 September 2020, please submit the application to HKATSSupport@hkex.com.hk on or before 4 September 2020.

EPs are recommended to coordinate with their IT teams and / or system vendors for necessary preparation. For any enquiries, please contact the HKATS Hotline at 2211 6360.

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